

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shota KITAMURA of Kanagawa-ken, Japan and Seiji YAMADA
of Tokyo-to, Japan

Serial No: not assigned

Filed: September 9, 1999

For: NONVOLATILE SEMICONDUCTOR MEMORY DEVICE
AND ITS MANUFACTURING METHODBox PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- ☒ 14 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 16 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to KABUSHIKI KAISHA TOSHIBA ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- ☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☐ A certified copy of Japanese Patent Application No. 255619/1998 filed September 9, 1998 from which priority is claimed under 35 U.S.C. § 119 will follow.
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.

CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	15	-20	0	LG=\$18 SM=\$9	\$18	0
B INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$78 SM=\$39	\$78	0
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$ 0
D MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130	\$ 0
E BASIC FEE					LARGE ENTITY FEE = \$760 SMALL ENTITY FEE = \$380	\$ 760
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 760
G ASSIGNMENT RECORDING FEE					\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1 and 8						

- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ 0 to cover Assignment Recordation fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. **A copy of this sheet is enclosed.**
- ☐ Any additional filing fees required under 37 C.F.R. § 1.16
- ☐ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,
LOEB & LOEB LLP

By: 

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Date: September 9, 1999

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09/09/1999 09:00:00

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KITAMURA, et al.

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DEVICE AND ITS MANUFACTURING METHOD

Art Unit: not assigned

Examiner: not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EL 340 698 376 US
Date of Deposit: September 9, 1999

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application (16 page(s) of specification; 15 claim(s); 1 page(s) of abstract
- ☒ 14 sheet(s) of formal drawings
- ☐ assignment of the invention to KABUSHIKI KAISHA TOSHIBA
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231.

Date: September 9, 1999

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Alma Kobian

Name of person mailing papers


Signature